

CCLS, QMUL
Banking & Finance Law Institute
Advisory Council, 26 June 2023



The Institute of Banking and Finance – TEAM



Prof. R. Lastra



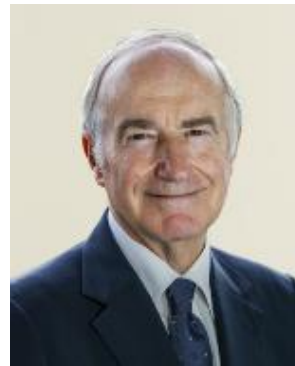
Prof. G. Walker



Prof. R. Olivares-Caminal



Dr. D. D'Alvia



Prof. Sir W. Blair



Dr. A. Georgosouli,



Dr. K. Morbee



Dr. C. Russo

The Institute of Banking and Finance – DISTINGUISHED GUEST SPEAKERS



- ▶ The Institute attracts distinguished guest speakers and distinguished visiting professors, ranging from General Counsel of the European Central Bank, Federal Reserve Bank of New York, Bank of England, Hong Kong Monetary Authority, Bank for International Settlements and the International Monetary Fund; as well as QCs, judges senior regulators and practitioners from leading law firms in the City (Clifford Chance, Freshfields, Allen & Overy, Cleary Gottlieb, Millbank and others), investment banks, Lloyds and insurance firms.



The Institute of Banking and Finance – TEACHING

▶ **London Programmes – Postgraduate:**

- ❑ LL.M. in Banking and Finance Law;
- ❑ LL.M. in Law and Economics;
- ❑ LL.M. in Compliance;
- ❑ MSc programme in Law and Finance;
- ❑ Ph.D.
- ❑ International Finance Law PGDip (Certificate and Diploma of Scandinavian Programme)

▶ **Paris Programmes:**

- ❑ LL.M. in Banking and Finance Law
- ❑ Double LL.M. QMUL CCLS – Sorbonne School of Law

▶ **Singapore Programme:**

- ❑ Commercial Law Dual LL.M. (London and Singapore)

The Institute of Banking and Finance – CORE AREAS

- ▶ Banking Law and Regulation
- ▶ International Finance Law
- ▶ Securities Regulation and Insurance Regulation
- ▶ Corporate Finance Law
- ▶ M&As and Takeover Law
- ▶ Insolvency Law
- ▶ Monetary law and central Banking
- ▶ Sustainable finance
- ▶ Ethics in Banking and Finance
- ▶ Derivatives and Risk Management

The Institute of Banking and Finance – COURSES ON OFFER

▶ Semester 1

- ❑ Regulation of Financial Markets (SOLM003)
- ❑ International Finance Law (SOLM005)
- ❑ Banking Law International (SOLM007)
- ❑ Law and Ethics in Business and Finance (SOLM011)
- ❑ Sovereign Debt Restructuring (SOLM014)
- ❑ Insurance Regulation (SOLM139)
- ❑ International Economic Law (SOLM194)
- ❑ Law of Economic Crime: Proceeds of Crime (SOLM199)
- ❑ SOLM224 Compliance Systems in Practice (SOLM224)

▶ Semester 2

- ❑ Securities Regulation (SOLM001)
- ❑ Banking and FinTech Law (SOLM008)
- ❑ Mergers and Acquisitions (SOLM010)
- ❑ Law of Economic Crime: Corruption (SOLM200)
- ❑ Compliance in Global Markets (SOLM223)
- ❑ Art and Money (SOLM230)
- ❑ Law and Finance in Practice (SOLM237)
- ❑ International Economic Law Clinic (SOLM263)

▶ Semester 3

- ❑ Corporate Finance Law (SOLM009)
- ❑ Accounting for Lawyers (SOLM234)
- ❑ Derivatives in Legal Context (SOLM235)
- ❑ Risk Management in Law (SOLM236)
- ❑ International Financial Regulation (SOLM246)
- ❑ Sustainability and the Corporation (SOLM269)
- ❑ Legal Tech (SOLM273)
- ❑ Public Affairs Advocacy (SOLM274)
- ❑ Advocacy in Commercial Disputes (SOLM276)

The Institute of Banking and Finance – RESEARCH

Research in the areas of digitalization, sustainable finance, insolvency, debt restructuring, financial inclusion,

▶ **Sovereign Debt Forum**

▶ House of Lords Enquiry 'Bank of England: How is independence working' (2023)

▶ Research Project on 'Sustainability and the Law' – SLS Subject Sections Fund (2022 – 2024)

▶ **Research Project on 'Financial Inclusion' in Mile End (2022 – 2023)**

▶ UNIDROIT Working Group on Bank Insolvency (2021 – 2023)

▶ EBRD Insolvency Law Assessment (2020 – 2022)

▶ EBI – European Banking Institute

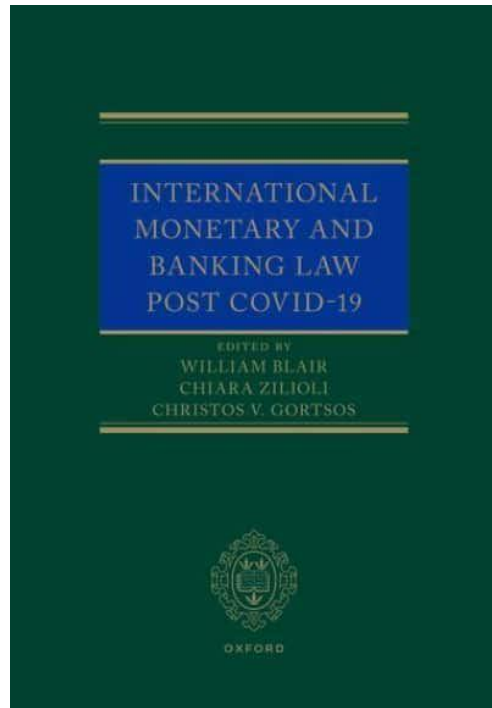
▶ MOCOMILA – Committee on International Monetary Law of the International Law Association

▶ ESRC – funded project 'Legal Economic Conceptions of Money' (2019 – 2020)

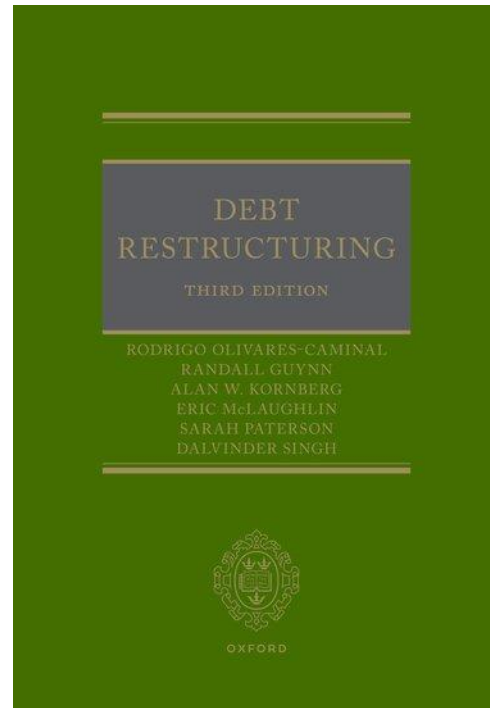
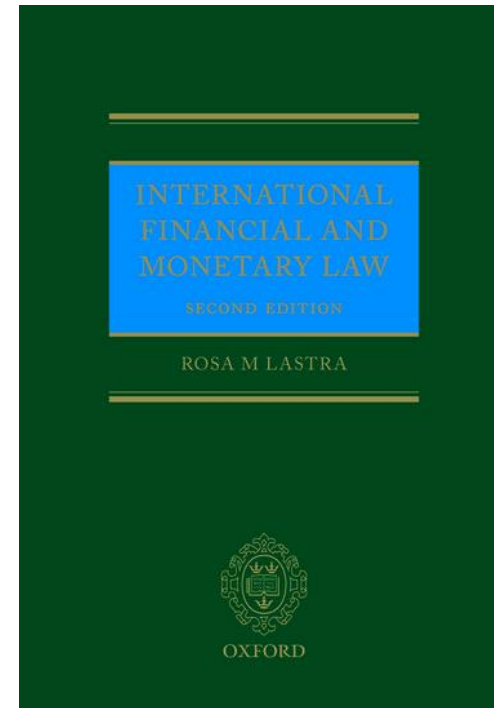
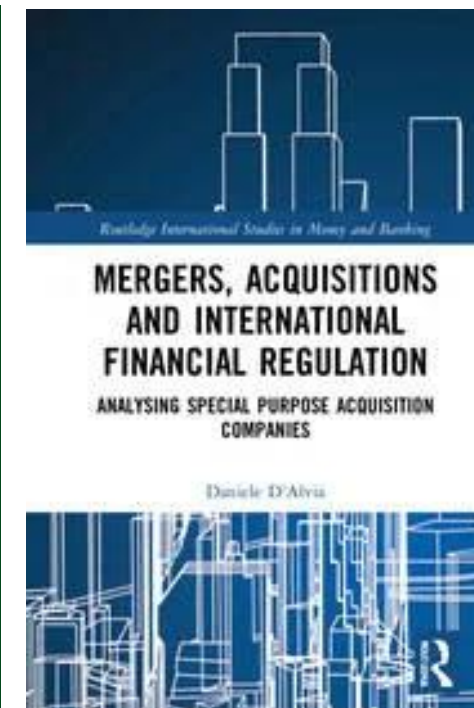
▶ Monetary and Banking Union (Resolution) Expert Panels of the European Parliament (2015 and 2016 –

▶ **Annual Conference on Law and Macroeconomics organised by Wharton School, Georgetown Law and IIEL, Queen Mary University of London (CCLS), Tulane, and Yale Law School**

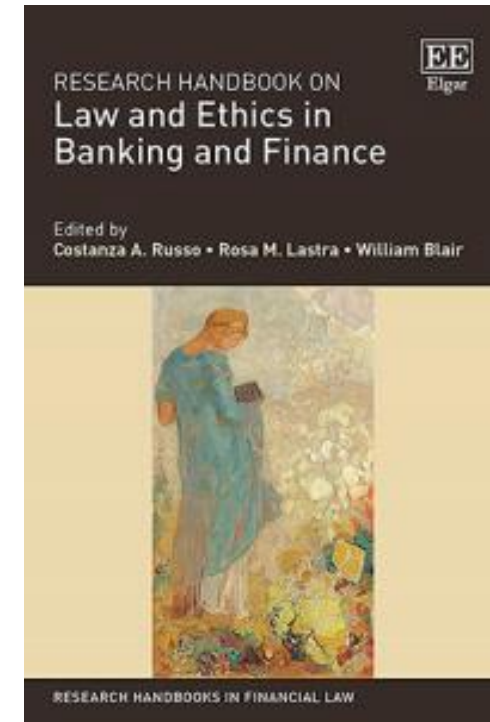
The Institute of Banking and Finance – Selected Recent Publications



2023

2023, 3rd edn2023, 3rd edn. Forthcoming

2021



2019

The Institute of Banking and Finance – **Student & Alumni Engagement**

- ▶ Presentations from outside distinguished guests (judges, GC, lawyers, academics)
- ▶ Dedicated visits for students to the Bank of England, Westminster Palace (House of Lords), Courts of Justice and Lloyd's
- ▶ Student involvement in public engagement activities to foster their networks
- ▶ Career development events with top tier law firms in London (e.g. Milbank reception)
- ▶ **Annual conference with the Bank of England**

The Institute of Banking and Finance – EXTRA CURRICULAR STUDENT ENGAGEMENT: A snapshot of AY 2022-2023 Events

- ▶ Finance in Tuscan Hills. “The New ECB: Taking Stock and Looking Ahead” (3 November 2022);
- ▶ Witteveen Lecture, “CBDC: In Defense of Public Money” Keynote speech by Prof. Lastra (Nov. 2022);
- ▶ Bloomberg Lunch & Learning (30 November 2022);
- ▶ CCLS and Legal Directorate of the Bank of England. “Central Bank Mandates in an Evolving World (1 – 2 Dec 2022);
- ▶ Book Launch: Research Handbook on Energy, Law, and Ethics (13 December 2022)
- ▶ Insurance Law Careers Q&A Event (22 March 2023)
- ▶ Q&A on ‘Life in a Top Tier Law Firm’ by Chris Bates (Clifford Chance) (29 March 2023)
- ▶ House of Lords. Public sessions of oral evidence ‘Operational Independence of the Bank of England’
- ▶ Special Class on the EBA, Single Market and Banking Union by Despina Chatzimanoli (27 March 2023)
- ▶ **IMF, BoE, FCA, HM Treasury. ‘Climate Change, Sustainability, and Digital Currencies’ (9 May 2023)**

The Institute of Banking and Finance – PUBLIC ENGAGEMENT

- ▶ **Parliamentary engagement** (House of Commons, House of Lords, European Parliament and US Congress)
 - ❑ Consultations and Select Committees


- ▶ Events within the Banking and Finance sector / industry and their regulators
 - ❑ Events and conferences with law firms
 - ❑ Events and conferences with IMF, Bank of England, FCA, HM Treasury, World Bank, European Commission, Federal Reserve

- ▶ London Financial Regulation Seminars (see next slides)

Institute of Banking and Finance – London Financial Regulation Seminar

- ▶ A selection of the most salient seminars in the last two years:
 - ❑ 24 May 2023 “The Cambridge Regulatory Genome” by Dr. Giovanni Bandi
 - ❑ 22 May 2023 “A Sober Look at the Rescue of Credit Suisse” by Dr. Reto Schiltknecht
 - ❑ 20 April 2023 “US Securities Regulation” by Prof. Marc Steinberg
 - ❑ 17 April 2023 “Models of Central Banking Organisation of the Bank of England” by Prof. Allen
 - ❑ 27 March 2023 “The work of the European Banking Authority” by Dr. Despina Chatzimanoli
 - ❑ 27 February 2023 “For Profit: a History of the Corporation” by Prof. William J. Magnuson
 - ❑ 12 December 2022 “Decentralised Finance: Shadow Banking 2.0?” by Prof. Hilary J. Allen
 - ❑ 6 Dec. 2022 “LDI Strategies: The Pensions Regulator on Trial”, Prof. Clacher & Dr. Con Keating
 - ❑ 7 February 2022 “Time to Repeal the UK Securitisation Regulation?” by Mr. Marke Raines

Institute of Banking and Finance – London Financial Regulation Seminar

A blue-tinted photograph of a shirtless sailor climbing a rope on the deck of a sailboat, with the sea and sky in the background.

gwp

London Financial Regulation Seminar
**Rescue of Credit Suisse by Swiss authorities –
a first analysis**

22 May 2023

Dr Reto Schiltknecht, Attorney-at-Law
Senior Counsel at Consulting Firm Geissbühler Weber & Partner, Zurich
ex FINMA Responsible for „Too-Big-To-Fail“ (TBTF)

A photograph of a large, classical-style building with arched windows and columns, likely a part of the University of Cambridge.

Cambridge Judge Business School

**THE REGULATORY
GENOME PROJECT**

CCLS Intro
24 May 2023

 **UNIVERSITY OF
CAMBRIDGE**
Judge Business School

ANY QUESTIONS ?

*谢谢. Efharisto. Obrigado.
Gracias. Grazie. Thank You.
Merci. Danke. Etc.*